

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Zadno-Azizi, et al.

Serial No.: 10/071,620

Conf. No.: 3007

Filed: February 8, 2002

For: BODY FLUID FLOW CONTROL

DEVICE

Art Unit: 3738

Examiner: Unassigned

# INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98

Commissioner for Patents U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Sir:

Since this Information Disclosure Statement is filed prior to receipt of a First Office Action on the Merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§ 1.97-1.98 Form PTO-1449 (4 pages) and copies of the cited documents are provided herewith in connection with the above-captioned application.

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The documents listed on the Form PTO-1449 and supplied herewith are in the English language, with the exception of items BC and BD. Items BC and BD are in the Russian language and are supplied with English language Derwent abstracts describing the subject matter (items BR and BS, respectively). Hence, in accordance with the requirements of 37 C.F.R. § 1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Examiner the following co-pending U.S. and International applications which are commonly owned and/or have one or more inventors in common:

Application No.	Filing Date	Docket No.
09/397,218	09/16/99	38349-0102B
10/071,620	02/08/02	38349-0102D
09/519,735	03/04/00	38349-0103
09/797,910	03/02/01	38349-0103B
Unassigned	11/22/02	38349-103C
Unassigned	11/22/02	38349-103D
Unassigned	11/22/02	38349-103E
PCT/US02/32853	10/10/02	38349-0104PC
Unassigned	11/14/02	38349-0107
Unassigned	11/14/02	38349-0107PC
10/270,792	10/10/02	38349-0108
60/351,084	01/22/02	38349-P110
60/363,328	01/08/02	38349-P116
60/371,634	04/09/02	38349-P118
60/384,247	05/28/02	38349-P119
60/399,273	07/26/02	38349-P120
Unassigned	11/07/02	38349-0122US

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as

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an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

Respectfully submitted, HELLER EHRMAN WHITE & McAULIFFE LLP

Ву:

Fred C. Hernandez

Registration No. 41,832

Dated: December 18, 2002

Attorney Docket No. 38349-0102C Address all correspondence to:

Stephanie L. Seidman
Fred C. Hernandez

HELLER EHRMAN WHITE & McAULIFFE LLP 4350 La Jolla Village Drive, Suite 700

San Diego, CA 92122-1246 Telephone: (858) 450-8400 Facsimile: (858) 587-5360

### IN THE UNITED STATES PATE

#### AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56, §1.97, and §1.98

#### **PTO-1449 FORM**

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ATTORNEY	CKET	NO.

38349-0102C

SERIAL NO.:

10/071,620

APPLICANTS:

Gholam-Reza Zadno-Azizi et al.

FILING DATE:

February 8, 2002

**GROUP ART UNIT:** 

3738

			U.S. PA	ATENT DOCUMENTS		
† EX'R INITIAL	REF.#	PATENT NUMBER	DATE	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
	Α	2001/0037808	11/08/01	Deem et al.	128/200.24	03/02/01
	В	2001/95209 A1	07/18/02	Zadno-Azizi et al.	623/1.24	02/08/02
	С	2002/0077696	06/20/02	Zadno-Azizi et al.	523/1.24	02/21/02
	D	2002/0095209	07/18/02	Zadno-Azizi et al.	623/1.24	02/08/02
	E	4,710,192	12/01/87	Liotta et al.	623/1	10/17/86
	F	4,808,183	02/28/89	Panje	623/9	06/03/80
	G	4,819,664	04/11/89	Nazari	128/207.15	02/17/88
	Н	4,850,999	07/25/89	Planck	623/1	05/26/81
	ı	4,877,025	10/31/89	Hanson	128/107.16	10/06/88
	J	5,116,564	05/26/92	Jansen et al.	264/255	10/10/89
	К	5,358,518	10/25/94	Camilli	623/2	01/25/93
ρ	L	5,382,261	01/17/95	Palmaz	606/158	09/01/92
	М	5,499,995	03/19/96	Teirstein	606/192	05/25/94
	N	5,660,175	08/26/97	Dayal	128/207.15	08/21/95
	0	5,662,713	09/02/97	Andersen et al.	623/12	09/14/95
	Р	5,683,451	11/04/97	Lenker et al.	623/1	06/07/95
<del></del>	Q	5,840,081	11/24/98	Andersen et al.	623/2	02/19/97
	R	5,855,601	01/05/99	Bessler et al.	623/2	06/21/96
	S	5,957,949	09/28/99	Leonhardt et al.	606/194	05/01/97
	Т	5,984,965	11/16/99	Knapp et al.	623/12	08/28/97
	U	6,007,575	12/28/99	Samuels	623/1	06/06/97
	V	6,020,380	02/01/00	Killian	514/570	11/25/98
	W	6,051,022	04/18/00	Cai et al.	623/2	12/30/98
	X	6,083,255	07/04/00	Laufer et al.	607/96	12/19/97
	Y	6,123,663	09/26/00	Rebuffat	A61F2/00	12/23/98
• "	Z	6,135,991	10/24/00	Muni et al.	604/509	03/27/98
	AA	6,168,614 B1	01/02/01	Andersen et al.	623/1	02/20/98
	AB	6,183,520 B1	02/06/01	Pintauro et al.	623/23.64	11/10/98
	AC	6,200,333 B1	03/13/01	Laufer	607/96	12/31/98
	AD	6,206,918 B1	03/27/01	Campbell et al.	623/2.32	05/12/99

<sup>†</sup> EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered. *Include copy of this form in next communication to applicant.* 

<sup>\*</sup> If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d). #601187v1 P1449-38349-0102C

#### IN THE UNITED STATES PATE AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56, §1.97, and §1.98

#### **PTO-1449 FORM**

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ATTORNEY CKET NO.:	SERIAL NO.:
38349-0102C	10/071,620
APPLICANTS:	
Gholam-Reza Zadno-Azizi	et al.
FILING DATE:	GROUP ART UNIT:

3738

February 8, 2002

	U.S. PATENT DOCUMENTS					
† EX'R INITIAL	REF.#	PATENT NUMBER	DATE	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)
	AE	6,234,996 B1	05/22/01	Bagaoisan et al.	604/97.01	06/23/99
	AF	6,258,100 B1	07/10/01	Alferness et al.	606/108	10/10/00
	AG	6,293,951 B1	09/25/01	Alferness et al.	606/108	08/24/99
	AH	6,312,407 B1	11/06/01	Zadno-Azizi et al.	604/103.03	02/19/98
	Al	6,325,777 B1	12/04/01	Zadno-Azizi et al.	604/97.01	10/01/99
	AJ	6,325,778 B1	12/04/01	Zadno-Azizi et al.	604/99.02	01/01/99
<del></del>	AK	6,327,772 B1	12/11/01	Zadno-Azizi et al.	29/557	04/13/99
	AL	6,355,014 B1	03/12/02	Zadno-Azizi et al	604/99.02	03/13/98

	FOREIGN PATENT DOCUMENTS				
† EX'R INITIAL	REF.#	Patent Number	Date	Country	TRANSLATION (YES/NO)
	АМ	00/42950	07/27/00	PCT	
	AN	00/51510	09/08/00	PCT	
	AO	00/62699	10/26/00	PCT	
	AP	00/78386 A1	12/28/00	PCT	
	AQ	01/02042 A1	01/11/01	PCT	
	AR	01/03642 A1	01/18/01	PCT	
	AS	01/05334 A1	01/25/01	PCT	
	AT	01/10313 A1	02/15/01	PCT	
	AU	01/10314 A2	02/15/01	PCT	
	AV	01/12104 A1	02/22/01	PCT	
<b>.</b>	AW	01/13839 A1	03/01/01	PCT	
	AX	01/13908 A2	03/01/01	PCT	

<sup>†</sup> EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered. *Include copy of this form in next communication to applicant*.

<sup>\*</sup> If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d). #601187v1 P1449-38349-0102C

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#### **PTO-1449 FORM**

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ATTORNEY	CI	(ET	NO.
38349-010	02C		

SERIAL NO.:

10/071,620

APPLICANTS:

Gholam-Reza Zadno-Azizi et al.

FILING DATE:

February 8, 2002

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3738

			FOREIGN PATENT	DOCUMENTS	
† EX'R INITIAL	REF.#	Patent Number	Date	Country	TRANSLATION (YES/NO)
·	AY	01/45590 A2	06/28/01	PCT	
	AZ	01/49213 A2	07/12/01	PCT	
	CA	01/54625 A1	08/02/01	PCT	
	СВ	0621 015 A1	10/26/94	EP	
	СС	0621 015 B1	10/26/94	EP	
	CD	1371700	02/07/88	SU	Yes*
	CE	1593651 A1	09/23/90	SU	Yes*
,	CF	94/26175	11/24/94	PCT	
	CG	95/32018	11/30/95	PCT	
	СН	96/34582	11/07/96	PCT	
	CI	97/44085	11/27/97	PCT	
	CJ	98/00840	01/08/98	PCT	
	СК	98/19633	05/14/98	PCT	
	CL	98/39047	09/11/98	PCT	
	СМ	99/01076	01/14/99	PCT	
	CN	99/13801	03/25/99	PCT	
	со	99/26692	06/03/99	PCT	
	СР	99/32040	07/01/99	PCT	
	ca	99/42059	08/26/99	PCT	
	CR	99/42161	08/26/99	PCT	

<sup>\*</sup>English Language Derwent is enclosed.

<sup>†</sup> EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered. Include copy of this form in next communication to applicant.

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		OTHER DOCUMENTS
† EX'R INITIAL	REF.#	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)
		Derwent# 007607249 WPI Acc No: 1988-241181/198834 (citing Russian Application No. SU 1371700 A1, published February 7, 1988)
		Derwent# 008650867 WPI Acc No: 1991-154896/199121 (citing Russian Application No. SU 1593651 A1, published September 23, 1990)

<sup>†</sup> EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered. *Include copy of this form in next communication to applicant.* 

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